Progressive collapse analysis of RC buildings subjected to seismic loads

by

Harinadha Babu Raparla, Pradeep Kumar Ramancharla

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Harinadha B. Raparla and R. Pradeep Kumar

Earthquake performance of Reinforced concrete bare frame has been well documented in the past. Also, damage patterns in reinforced concrete frames during the past earthquakes have been extensively studied. However, more recently, several destructive earthquakes, including the 1999 Athens (Greece) earthquake, the 1999 Izmit and Düzce earthquakes (Turkey), 1999 Chi Chi (Taiwan) earthquake, 2001 Bhuj (India) earthquake, and the 2003 Boumerdes (Algeria) earthquake, have given more insights to performance of RC frame constructions. In order to understand the complete behavior of RC frame, it is required to study the performance from no loading condition till the complete collapse. In this paper, a set of four bare frames designed as per Indian Standards are considered. All the frames are subjected to Northridge earthquake ground motion. Newly developed numerical model called Applied Element Method (AEM) is considered for analysis. Initially, the linear analysis is carried out and the results were compared with commercially available software. Later, progressive collapse analysis is performed. Collapse process of each bare frame is discussed in detail.

Keywords: Damage; earthquakes; bare frames; applied element method; collapse analysis.

INTRODUCTION

A large number of reinforced concrete multi storied framed buildings were heavily damaged and many of them collapsed completely during earthquakes of the last decade viz., the 1999 Athens (Greece) earthquake, the 1999 Izmit and Düzce earthquakes (Turkey), 1999 Chi Chi (Taiwan) earthquake, 2001 Bhuj (India) earthquake, and the 2003 Boumerdes (Algeria) earthquake. These damages have clearly shown strengths of the structure to give ground motion. Strength of a structure mainly depends on deformation capacities of the individual component of the structure. In order to determine capacities beyond the elastic limits some form of nonlinear analysis such as the pushover procedure is required. Usually seismic demands are computed by nonlinear static analysis of the structure, which is subjected to monotonically increasing lateral forces with an invariant height-wise distribution until a target displacement is reached. However, clear understanding of the performance under critical dynamic loading cannot be understood by this procedure. For this purpose, we need to use highly efficient numerical modeling procedures.

Simulation of such a behavior is not an easy job using currently available numerical techniques. Currently available numerical methods for structural analysis can be classified into two categories. In the first category, model is based on continuum material equations. The
finite element method (FEM) is typical example of this category. The mathematical model of the structure is modified to account for reduced resistance of yielding components. For two dimensional models computer programs are available that directly model nonlinear behavior efficiently in static way and to some reasonable level of accuracy in dynamic way. However, to perform collapse far exceeding their elastic limit is a difficult task to many numerical methods. Currently there are several limitations in adopting this approach. For example in high nonlinear case where crack has initiated and element is not detached from the structure, smeared crack approach is followed. However, smeared Crack approach cannot be adopted in zones where separation occurs between adjacent structural elements. While, Discrete Crack Methods assume that the location and direction of crack propagation are predefined before the analysis. With this group of the methods, analysis of structures, especially concrete structures, can be performed at most before collapse. The second category of methods uses the discrete element techniques, like the Rigid Body and Spring Model (RBEM) [1] and Modified or Extended Distinct Element Method, (MDEM or EDEM) [2] The main advantage of these methods is that they can simulate the cracking process with relatively simple technique compared to the FEM, while the main disadvantage is that crack propagation depends mainly on the element shape, size and arrangement. Analysis using the RBEM could not be performed up to complete collapse of the structure. On the other hand, the EDEM can follow the structural behavior from zero loading and up to complete collapse of the structure. However, the accuracy of EDEM in small deformation range is less than that of the FEM. Hence, the failure behavior obtained by repeated many calculations is affected due to cumulative errors and cannot be predicted accurately using the EDEM. However, AEM has the capability of simulating behavior of structures from zero loading to collapse can be followed with reliable accuracy, reasonable CPU time and with relatively simple material models. Hence in this research, numerical simulation is performed with Applied Element Method (AEM).

The major advantages of the Applied Element Method (AEM) are simple modeling and programming and high accuracy of the results with relatively short CPU time. Using the AEM, highly nonlinear behavior, i.e. crack initiation, crack propagation, separation of structural elements, rigid body motion of failed elements and collapse process of the structure can be followed with high accuracy. In this paper, AEM is used to perform the analysis.
OVERVIEW OF THE NUMERICAL METHOD

In this research numerical simulation is performed with applied element method. In AEM structure is modeled as an assembly of small elements which is made by dividing of the structure virtually, as shown in Figure 1 [3, 4]. The two elements shown in Figure 1 are assumed to be connected by pairs of normal and shear springs located at contact points which are distributed around the element edges.

Each pair of springs totally represents stresses and deformations of a certain area (hatched area in Figure 1b) of the studied elements. The spring stiffness is determined as shown in equation 1

\[ K_n = \frac{EdT}{a} \quad \text{and} \quad K_s = \frac{GdT}{a} \]  

... (1)

Where, ‘d’ is the distance between springs, ‘T’ is the thickness of the element and ‘a’ is the length of the representative area, ‘E’ and ‘G’ are the Young’s and shear modulus of the material, respectively. The above equation indicates that the spring stiffness is calculated as if the spring connects the element centerlines. Three degrees of freedom are assumed for each element. These degrees of freedom represent the rigid body motion of the element.

The two elements shown in Figure 2 are assumed to be connected by only one pair of normal and shear springs.

The values of ‘dx’ and ‘dy’ correspond to the relative coordinate of the contact point with respect to the centroid. To have a general stiffness matrix, the location of element and contact springs is assumed in a general position. The stiffness matrix components corresponding to each degree of freedom are determined by assuming a unit displacement in the studied direction and by determining forces at the centroid of each element. The size element stiffness matrix (Figure 3) is \((6 \times 6)\). The matrix shows the components of the upper left quarter of the stiffness matrix. All notations in this matrix are shown in Figure 2.

It is clear that the stiffness matrix depends on the contact spring stiffness and the spring location.

The stiffness matrix in Figure 3 is for only one pair of contact springs. However, the global stiffness matrix is determined by summing up the stiffness matrices of individual pair of springs around each element. Consequently, the developed stiffness matrix is an average stiffness matrix for the element according to the stress situation around the element. This technique can be used both in load and displacement control cases.

The tension and compression models for concrete are shown in Figure 4 (a). The shear model for concrete is shown in Figure 4 (b).

![Figure 3. One quarter of element stiffness matrix [3]](image)

![Figure 4. Tension, compression and shear models for concrete][5]
Concrete model

Figure 4 shows the constitutive models adopted in AEM. As for modeling of concrete under compression, Maekawa compression model as shown in Figure 4(a), is adopted [5]. In this model, the initial Young’s modulus, the fracture parameter, representing the extent of the internal damage of concrete and the compressive plastic strain are introduced to define the envelope for compressive stresses and compressive strains. Therefore unloading and reloading can be conveniently described. The tangent modulus is calculated according to the strain at the spring location. After peak stresses, spring stiffness is assumed as a minimum value (1% of initial value) to avoid negative stiffness. This results in difference between calculated stress and stress corresponds to the spring strain. These residual stresses are redistributed by applying the redistributed force values in the reverse direction in the next loading step.

For concrete springs subjected to tension, spring stiffness is assumed as the initial stiffness until reaching the cracking point. After cracking, stiffness of springs subjected to tension is set to be zero. The residual stresses are then redistributed in the next loading step by applying the redistributed force values in the reverse direction. For concrete springs, the relationship between shear stress and shear strain is assumed to remain linear till the cracking of concrete. Then, the shear stresses drop down as shown in Figure 4(b) The level of drop of shear stresses depends on the aggregate interlock and friction at the crack surface.

Reinforcing bars model

For reinforcement springs, the model presented by Ristic et al. [6] is used and it is shown in Figure 5. The tangent stiffness of reinforcement is calculated based on the strain from the reinforcement spring, loading status (either loading or unloading) and the previous history of steel spring which controls the Bauschinger’s effect.

The main advantage of this model is that it can consider easily the effects of partial unloading and Bauschinger’s effect without any additional complications to the analysis. After reaching 10% tensile strain, it is assumed that the reinforcement bar is cut. The force carried by the reinforcement bar is redistributed, when it reaches the failure criterion by applying the redistributed force to the corresponding elements in the reverse direction [4]. Material properties taken for the simulation are given in Table 1.

Small deformation vs large deformation

Even though the analysis to be performed is dynamic, equations remain same in both static as well as dynamic cases except for inertia and damping terms.

Table 1. Material properties taken for the simulation

<table>
<thead>
<tr>
<th>Property</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>$E_c$ Modulus of elasticity of concrete</td>
<td>25E+3 N/mm²</td>
</tr>
<tr>
<td>$f_{ck}$ Characteristic compressive strength of concrete</td>
<td>25 N/mm²</td>
</tr>
<tr>
<td>$f_{cr}$ Tensile strength of concrete</td>
<td>3.5 N/mm²</td>
</tr>
<tr>
<td>$\nu$ Poisson’s ratio</td>
<td>0.20</td>
</tr>
<tr>
<td>$E_s$ Modulus of elasticity of steel</td>
<td>2.0 E+5 N/mm²</td>
</tr>
<tr>
<td>$f_y$ Characteristic Yield strength of steel</td>
<td>415 N/mm²</td>
</tr>
<tr>
<td>Damping ratio adopted in the dynamic cases is 0.05(5%)</td>
<td></td>
</tr>
</tbody>
</table>
Small deformation range

The general dynamic equation of motion in small deformation range is

\[ [M][\Delta \ddot{U}] + [C][\Delta \dot{U}] + [K][\Delta U] = \Delta f(t) - [M][\Delta \dot{U}_g] \quad \ldots \; (2) \]

Where \([M]\) is mass matrix; \([C]\) the damping matrix; \([K]\) the nonlinear stiffness matrix; \(\Delta f(t)\) the incremental applied load vector; \([U]\) the incremental displacement vector; and \([\Delta U], [\Delta \ddot{U}], [\Delta \dot{U}]\) and \([\Delta \dot{U}_g]\) the incremental velocity, acceleration and gravity acceleration vectors, respectively. Equation 2 is solved numerically using Newmark Beta technique. To simplify the dynamic problem and to reduce the size required for definition of mass matrix, the element mass and inertia are assumed lumped at the element centroid. The mass matrix corresponding to an element, in case of square shaped elements is

\[
[M_1] = \begin{bmatrix}
D^2 \ast t \ast \rho \\
D^2 \ast t \ast \rho \\
p^4 \ast t \ast \rho / 6.0
\end{bmatrix}
\]

\[
[M_2] = \begin{bmatrix}
D^2 \ast t \ast \rho \\
D^2 \ast t \ast \rho \\
D^2 \ast t \ast \rho / 6.0
\end{bmatrix}
\]

\[
[M_3] = \begin{bmatrix}
p^4 \ast t \ast \rho / 6.0 \\
p^4 \ast t \ast \rho / 6.0 \\
p^4 \ast t \ast \rho / 6.0
\end{bmatrix}
\]

Where ‘\(D\)’ is the element size; ‘\(t\)’ the element thickness and ‘\(\rho\)’ the density of the material considered. It should be noticed that the \([M_1]\) and \([M_2]\) are corresponding to the element mass and \([M_3]\) is corresponding to the element moment of inertia around the centroid.

Large deformation range

The general dynamic equation of motion in large deformation case is

\[ [M][\Delta \ddot{U}] + [C][\Delta \dot{U}] + [K][U] = \Delta f(t) + R_m + R_G \quad \ldots \; (4) \]

Where \([M]\) is mass matrix; \([C]\) the damping matrix; \([K]\) the nonlinear stiffness matrix; \(\Delta f(t)\) the incremental applied load vector; \([\Delta U]\) the incremental displacement vector; and \([\Delta \ddot{U}]\) and \([\Delta \dot{U}]\) the incremental velocity and acceleration vectors, respectively. Equation 4 simply represents the equilibrium equation between external applied loads and internal forces (internal stresses, inertia forces and damping forces). The terms ‘\(R_m\)’ represent additional load vectors due to the nonlinear behavior of the material. After applying a small load increment, the structure geometry is modified and hence, incompatibility between external loads and other forces occurs. This result in the additional load vector ‘\(R_G\)’. The main difference between the proposed method (AEM) and the conventional methods is that the geometrical stiffness matrix is omitted and its effects were replaced by adding the geometrical changes effects as an additional load vector ‘\(R_G\)’

\[ R_G = f(t) - [M][\Delta \ddot{U}] - [C][\Delta \dot{U}] - F_m \quad \ldots \; (5) \]
Equation 5 means that the geometrical residuals account for the incompatibility between external applied and internal forces, damping and inertia forces due to the geometrical changes during analysis. It should be noted that residual forces are calculated based on total stress value. Gravity forces are considered as an external applied force. It should be also emphasized that this technique can be applied in both static and dynamic loading conditions. In case of static loading condition, the mass and damping matrices are set equal to zero. The main limitation in static analysis is that separation of elements is not permitted during analysis as it makes the stiffness matrix singular. On the other hand, analyzing structures subjected to dynamic loading condition enables us to follow both geometrical changes of the structure and the rigid body motion during failure. As the deformations are assumed to be small in each load increment, small time increment should be used.

**NUMERICAL REPRESENTATION OF COLLISION AND RECONTACT**

To consider the effects of collision, it is necessary to check the collision between elements during analysis. To simplify the problem, element shape is assumed as circle during collision. This assumption is acceptable if the element size is relatively small. Even in case of relatively large elements used, it may be reasonable because in the deformation range of collision, the sharp corners of elements are broken due to the stress concentration and the edge of the elements become round shape. Based on the assumptions, only distance between the centres of the elements is calculated to check collision [7]

Contact and collision forces are considered in the analysis through collision springs, which are added at the locations where collision or recontact between elements occurs. The effects of separation, recontact and collision can be considered easily with high accuracy and without large increase of the CPU time. The main advantage of the proposed technique compared to the Extended Distinct Element Method (EDEM) is that the time increment can be much larger than the EDEM.

**CALCULATION OF TIME STEP**

Time effects are continuous since the start of loading till the end of analysis. As the nonlinear dynamic phenomenon is very complicated to be solved using exact solutions, approximate numerical solution for the dynamic equation is adopted. The numerical solution is based on assumption of a small time step that can follow the structural behavior.

The selection of the time step is very important. Having too short a time step will result in very long analysis time. Using a large time step thus a short analysis time will result in less accurate analysis. The numerical solution may fail to converge if the selected time step is large. Say that the time step is $\Delta T$, and then the shortest period that can be considered in the analysis is $2\Delta T$. Thus all the frequencies higher than that will not affect the analysis [8].

The applied excitation is applied as a record with a recording time step. For calculation purposes, the recording time step is subdivided into smaller steps called calculation time steps. The ratio of recording calculation time step is usually bigger than unity. The bigger ratio is the better the accuracy and the convergence of results.

Figure 7. Northridge ground motion taken for the simulation in nonlinear dynamic large deformation analysis
Figure 8. Response and collapse process of single storey RC frame under magnified northridge ground excitation

(a) Roof displacement in horizontal direction

(b) Response from 0 to A

(c) Response from A to B

(d) Response from B to C

(e) Failure process of the single storey RC frame
Earthquakes usually require time step as 0.001 to 0.01 sec for analysis. When collision is expected to occur, the time step should be less than the contact time between elements to have good contact relations.

**CASE STUDIES**

For the purpose of this study, four bare frames are selected viz., single storey-single bay, three storey-one bay, five storey-one bay and ten storey-three bay as shown in the Figures 8a, 9a, 10a and 11a respectively. Storey heights and bay widths are considered constant i.e., 4.0 m and 3.9 m respectively in the entire one bay structure. All these two dimensional RC bare frames, are designed to withstand normal gravity and lateral loads as per IS codes. Design has considered all the frames as ordinary moment resisting frames. These bare RC frames of different stories are modeled with reinforcement and appropriate materials for the simulation. The numerical simulation is performed with applied element method (AEM). Column and beam sizes are fixed as 0.3m × 0.3m. Longitudinal and lateral reinforcement details of all the one bay frames are taken from the designs obtained as per IS codes. For numerical modeling element size is taken as constant i.e., 100mm × 100mm for all the one bay frames. For ten storey-three bay frame Storey heights and each bay width c/c are taken as 4.2m and 7.8m respectively. Column and beam sizes are fixed as 600mm × 600mm and 300mm × 600mm respectively for all the floors of ten storey frame. Longitudinal and lateral reinforcement details of ten storey frame are taken from designs obtained as per IS codes. For numerical modeling element size is taken as constant i.e., 300mm × 300mm for ten storey -three bay frames. Material properties used in the analysis are described in Table 1. Damping value is taken as 5%.

Initially, Eigen value analysis is performed for finding the natural frequencies and mode shapes of the structure. Fundamental natural periods of four structures are 61.05, 17.92, 10.12 and 6.04 rad/s respectively. For performing dynamic analysis, Northridge earthquake has been considered. Ground motion time history and Fourier amplitude spectrum of the earthquake are shown in the Figures 7 a and b. From the Figure 7a it can be seen that the ground motion has PGA at around 5 s and from Figure 7b predominant frequencies range from 1 to 4 Hz. Hence, the structures falling in that frequency band will have maximum displacement amplitude.

In dynamic analysis, first linear analysis is performed. The responses obtained by AEM simulations are studied and compared with the results of other software for the verification. The results of dynamic linear analysis are in agreement with SAP-2000 [9] and STAAD [10] package results. Secondly, nonlinear dynamic analysis is performed by giving Northridge earthquake ground motion. Details of these cases are described below.

**Case 1: One storey-one bay structure**

One storey-one bay structure shown in Figure 8a is modeled by using 100 mm square elements. Natural frequency of the structure is 61.05 rad/s. Also we can see in the structure the response due to Northridge earthquake ground motion. From the Figure, it can be noticed that point A is the time at which concrete crack appeared. Point B is the time when steel yielded and finally at point C, progressive collapse started. Initial response of the structure is elastic i.e., upto 3.88 s where the amplitude of input acceleration is maximum. However at this point response of the structure is low. This is due to the fact that the natural frequency of the structure is 10 Hz and Fourier amplitude at this frequency is quite low. However, as the first crack appeared in concrete at time 3.88 s (Figure 8b) natural period of structure increased and frequency decreased. At this stage the structure responded to the input with more amplitude, leading to increased amplitude of vibration. This resulted in failure of more concrete springs and also yielding of steel (point B in Figure 8c). Finally at 6.81 s (Figure 8d) structure started collapsing progressively. The same can be seen from the Figure 8e. At 7.0s joints failed and by 9.5 s structure completely collapsed.

**Case 2: Three storey-one bay structure**

Three storey-one bay structure shown in Figure 9a is modeled by using 100 mm square elements. Natural frequency of the structure is 17.92 rad/s. In the Figure we can also see the structures response due to Northridge earthquake ground motion. From the Figure, it can be noticed that point A is the time at which concrete crack appeared. Point B is the time when steel yielded and finally at point C, progressive collapse started. Here it can be noticed that first concrete spring failed at much lesser time than that of single storey structure. This is due to two reasons viz., 1. Less natural frequency and hence structure enters into predominant zone, more response
Figure 9. Response and collapse process of three-storey RC frame under magnified northridge ground excitation
Figure 10. Response and collapse process of five-storey RC frame under magnified northridge ground excitation
and 2. Due to more bending stresses for the same lateral drift of the roof.

Initial response of the structure is elastic i.e., upto 2.58 seconds where the amplitude of input acceleration is quite low and hence at this point response of the structure is also low. However, as the first crack appeared in concrete at time 2.58s (Figure 9b) natural period of structure increased and frequency decreased. At this stage the structure responded harmonically to the input leading to increased amplitude of vibration. This resulted in failure of more concrete springs and also yielding of steel (point B in Figure 8c). Finally at 5.62 sec (Figure 9d) structure started collapsing progressively. The same can be seen from the Figure 9e. In Figure 9e upto 7.2 seconds structure oscillates and later all the beam column joints yield. At 9.3 seconds structure completely collapsed.

**Case 3: Five storey-one bay structure**

Five storey-one bay structure shown in Figure 10a is modeled by using 100 mm square elements. Natural frequency of the structure is 10.12 rad/s. In the Figure we can also see the structures response due to Northridge earthquake ground motion. From the Figure, it can be noticed that point A is the time at which concrete crack appeared. Point B is the time when steel yielded and finally at point C, progressive collapse started. Here it can be noticed that first concrete spring failed at much lesser time compared to that of single and three storey structures. This is due to two reasons viz., 1. Less natural frequency and hence more response and 2. Due to more bending stresses for the same lateral drift of the roof.

Initial response of the structure is elastic i.e., upto 1.96s where the amplitude of input acceleration is quite low and hence at this point response of the structure is also low. However, as the first crack appeared in concrete at time 1.96 (Figure 10b) natural period of structure increased and frequency decreased. At this stage the structure responded harmonically to the input leading to increased amplitude of vibration. This resulted in failure of more concrete springs and also yielding of steel (point B in Figure 10c). Finally at 6.83 sec (Figure 10d) structure started collapsing progressively. The same can be seen from the Figure 10e. In Figure 9e upto 6.1s structure oscillates and later all the beam column joints yield. At 10.16 sec structure completely collapsed.

**Case 4: Ten storey-three bay structure**

Ten storey-three bay structure shown in Figure 11a is modeled by using 300 mm square elements. Natural frequency of the structure is 6.04 rad/s. In the Figure we can also see the structures response due to Northridge earthquake ground motion. From the Figure, it can be noticed that point A is the time at which concrete crack appeared. Point B is the time when steel yielded and finally at point C, progressive collapse started. Here it can be noticed that first concrete spring failed at much lesser time compared to all the other three cases. This is due to two reasons viz., 1. More natural frequency and hence more response and 2. Due to more bending stresses for the same lateral drift of the roof.

Initial response of the structure is elastic i.e., upto 0.12 sec where the amplitude of input acceleration is quite low and hence at this point response of the structure is also low. However, as the first crack appeared in concrete at time 0.12 (Figure 11b) natural period of structure increased and frequency decreased. At this stage the structure responded harmonically to the input leading to increased amplitude of vibration. This resulted in failure of more concrete springs and also yielding of steel (point B in Figure 11c). Finally at 6.82s (Figure 10d) structure started collapsing progressively. The same can be seen from the Figure 11e. In Figure 11e upto 6.2s structure oscillates and later all the beam column joints yield. At 12.2s structure completely collapsed.

**OBSERVATIONS AND CONCLUSIONS**

Damage to RC frames has been studied and well documented in the past. However, most of the observation and conclusions are based on physical damages during earthquakes. Till now FEM is used to model the structure’s behavior extensively. However, the method has some limitations for performing highly nonlinear analysis, leading upto complete collapse of the structure. In this research, we used AEM for understanding the collapse behavior of the bare frames.
Figure 11. Response and collapse process of ten-storey RC frame under magnified northridge ground excitation

- (a) Roof displacement in horizontal direction
- (b) Response from 0 to A
- (c) Response from A to B
- (d) Response from B to C
- (e) Failure process of the ten-storey RC frame
Four bare frames viz., one storey, three storey, five storey and ten storey are designed as per Indian Standards were considered. All the bare frames were subjected to Northridge ground motion whose predominant frequency range is 1-4 Hz. Initial cracks appeared in concrete first, as the height of the structure is increasing. It means that three storey structure yielded first compared to single storey structure. It can be clearly understood that predominant frequency of the singly storey structure is away from resonating frequency of the ground motion compared to three storey building. Also, as the structure vibrates, more bending stresses are likely to develop in taller structure due to same drift compared to shorter structure. This phenomenon is clearly seen in all the bare frames. Even after the first yield, resistance was offered, as steel in resisting dominantly the flexural tension. Once the re-bars started failing, stiffness reduced significantly. Since all the structures are indeterminate structures, capacity is high. All the structures predominantly behaved in bending mode. However, as the steel bars were cut, then the progressive collapse phase started in the frames. It started in single storey frame at 6.7 sec, in three storey frame at 5.8 sec, in five storey frame at 7 sec and in ten storey frame at 6.8 sec. From the above, it can be concluded that the progressive collapse can be studied by AEM, starting from no loading upto complete collapse.

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Dr. Harinadha B. Raparla holds an M.Tech from JNTUH Hyderabad; PhD from Andhra University Visakhapatnam. He is a Professor in the Department of Civil Engineering, Sir CRR College of Engineering, Eluru, Andhra Pradesh. He has published a number of technical papers in National and International Journals. He has 32 years of teaching experience along with research and consultancy. His areas of interest in research are progressive collapse analysis of structures subjecting earthquake and blast loads.

R. Pradeep Kumar holds a PhD degree in civil engineering from University of Tokyo, Japan. He is a Professor of Civil Engineering and Head of Earthquake Engineering Research Centre (EERC) at International Institute of Information Technology (IIIT), Hyderabad. His research interests are numerical modeling of faults and tectonic plates, collapse simulation of buildings, seismic evaluation and strengthening of buildings and concrete codes in India. He is a member of the Earthquake Engineering Committee, CED 39, Concrete Committee, CED 2: IS 456 & IS 1343 of the Bureau of Indian Standards.